

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Applicant: Hatakeyama et al.

Serial No. 09/521,646

Filed: March 8, 2000

For: CONTENT USAGE CONTROL  
SYSTEM, CONTENT USAGE  
APPARATUS, COMPUTER  
READABLE RECORDING...

Art Unit: 3621

Examiner: Greene, Daniel L.



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Date  
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Appr. February 20, 1998

Attorney for Applicant

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Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This IDS is submitted:

- ( ) under 37 C.F.R. § 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last).
- ( ) under 37 C.F.R. § 1.97(c) together with either a:  
( ) Statement under 37 C.F.R. § 1.97(e); or  
( ) a \$180.00 fee under 37 C.F.R. § 1.17(p), or  
(After the C.F.R. § 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- (X) under 37 C.F.R. § 1.97(d) together with a:  
(X) Statement under 37 C.F.R. § 1.97(e), and  
(X) a petition under 37 C.F.R. § 1.97(d)(2), and  
(X) a \$180.00 petition fee set forth in 37 C.F.R. § 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

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(X) The enclosed references were cited in a Communication issued by the Japanese Patent Office (copy enclosed). Applicants hereby certify that the above items of information were first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement. Japanese Patent App. No. 9-134311 was submitted in an IDS filed on March 8, 2000.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

(X) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 C.F.R. § 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

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